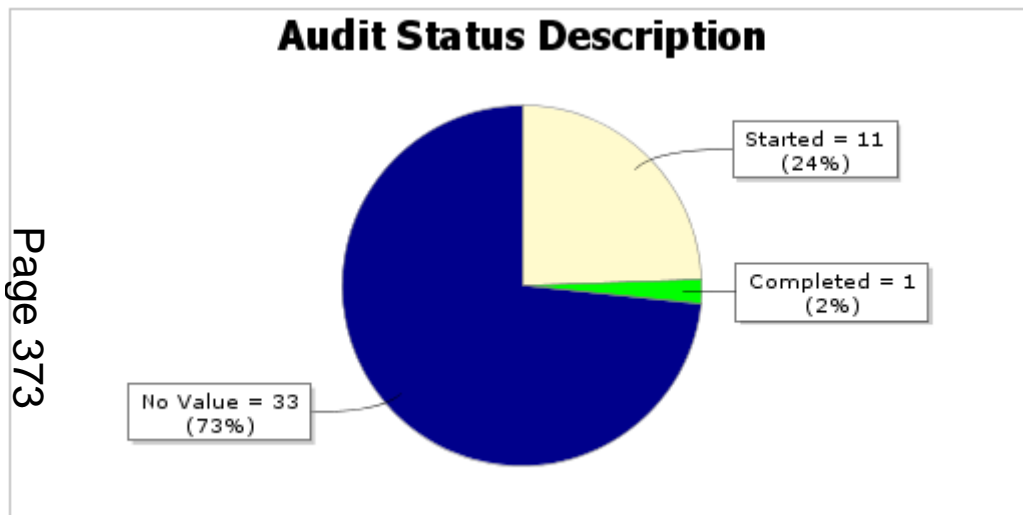



Internal Audit Performance Report 2017/18 Quarter 1

Report Type: Audit File Report
 Report Author: Angela Struthers
 Generated on: 30 June 2017

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| Title | Directorate Description | Audit Status Icon | Audit Status Description | Audit Assurance Type Title | Audit Assurance Level Status Icon | Audit Assurance Level Status |
|----------------------------------|-------------------------|-------------------|--------------------------|---------------------------------|-----------------------------------|------------------------------|
| Housing Services | Housing & Health | | | Risk based review | | |
| Insurance | Finance | ✓ | Started | System based review | | |
| Housing Repairs – Final Accounts | Housing & Health | ✓ | Started | Main financial system – interim | | |
| Main Accounting & | Finance | | | Main financial system – | | |

| Title | Directorate Description | Audit Status Icon | Audit Status Description | Audit Assurance Type Title | Audit Assurance Level Status Icon | Audit Assurance Level Status |
|---|--|---|--------------------------|---------------------------------|-----------------------------------|------------------------------|
| Budgetary Control | | | | full | | |
| Creditors & Procurement – Interim | Finance | | | Main financial system – interim | | |
| Debtors | Finance | | | Main financial system – full | | |
| Council Tax | Finance | | | Main financial system – interim | | |
| NNDR | Finance | | | Main financial system – interim | | |
| Payroll | Transformation & Corporate Performance | | | Main financial system – interim | | |
| Bank Reconciliation & Cash Collection | Finance | | | Main financial system – interim | | |
| Housing & Council Tax Benefits | Finance | | | Main financial system – interim | | |
| Capital Strategy & Programme Management | Finance | | | Main financial system – full | | |
| Housing Rents | Housing & Health | | | Main financial system – interim | | |
| Property Contracts QTR 1 | Assets & Environment |  | Started | Main financial system – interim | | |
| Property Contracts QTR 2 | Assets & Environment | | | Main financial system – interim | | |
| Property Contracts QTR 3 | Assets & Environment | | | Main financial system – interim | | |

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|-------------------------------------|--|-------------------|--------------------------|---------------------------------|-----------------------------------|------------------------------|
| Property Contracts QTR 4 | Assets & Environment | | | Main financial system – interim | | |
| Housing Repairs – New Contract | Housing & Health | | | | | |
| Taxi Licences | Assets & Environment | | | System based review | | |
| Scheme of Delegation | Solicitor & Monitoring Officer | ✔ | Started | Risk based review | | |
| Legal Compliance | Solicitor & Monitoring Officer | | | System based review | | |
| VAT | Finance | ✔ | Started | Risk based review | | |
| Corporate Policy Management | Corporate | | | System based review | | |
| Performance Management | Transformation & Corporate Performance | | | System based review | | |
| Time Recording & Absence Management | Transformation & Corporate Performance | | | Risk based review | | |
| Community Wardens – health & safety | Assets & Environment | ✔ | Started | Risk based review | | |
| Equalities | Transformation & Corporate Performance | | | System based review | | |
| Joint Service Provision/SLA's | Corporate | | | System based review | | |
| Safeguarding | Solicitor & Monitoring Officer | ✔ | Started | System based review | | |
| Emergency Planning | Corporate | | | System based review | | |

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|--|--|-------------------|--------------------------|---------------------------------|-----------------------------------|------------------------------|
| Network Controls | Technology & Corporate Programmes | | | Information Technology | | |
| EFin Application Review | Technology & Corporate Programmes | | | Information Technology | | |
| Websites | Technology & Corporate Programmes | | | Information Technology | | |
| Orchard Application Review | Technology & Corporate Programmes | | | Information Technology | | |
| IT Governance | Technology & Corporate Programmes | | | Information Technology | | |
| Pension Contributions End of year 2016/17 | Transformation & Corporate Performance | ✔ | Started | Transactional | | |
| IT Testing | Assets & Environment | ✔ | Started | Transactional | | |
| Municipal Charities | Corporate | ✔ | Started | Transactional | | |
| Treasury Management QTR 4 2016/17 | Finance | ✔ | Completed | Main financial system – interim | | |
| Treasury Management QTR 1 2017/18 | Finance | | | Main financial system – interim | | |
| Treasury Management QTR 2 2017/18 | Finance | | | Main financial system – interim | | |
| Treasury Management QTR 3 2017/18 | Finance | | | Main financial system – interim | | |
| Pension Contributions Interim Testing | Transformation & Corporate Performance | | | Transactional | | |
| CX Air Application | | ✔ | Started | | | |

| Title | Directorate Description | Audit Status Icon | Audit Status Description | Audit Assurance Type Title | Audit Assurance Level Status Icon | Audit Assurance Level Status |
|--------------|-------------------------|-------------------|--------------------------|----------------------------|-----------------------------------|------------------------------|
| Review | | | | Risk based review | | |
| Street Scene | | | | | | |

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